## REMARKS

Claims 1 and 3-13 remain in the application. Claim 2 has been cancelled. Claims 1, 5, and 9-13 have been amended. Applicant respectfully requests reconsideration in light of the amendments and the following remarks.

## **CLAIM REJECTIONS UNDER 35 USC §101**

The Office Action rejected claims 5-11 and 13 under 35 USC 101 as directed to non-statutory subject matter. The subject matter patentability analysis begins with the statute. Section 101 provides:

"Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title."

Excluded from patent protection are laws of nature, physical phenomena, and abstract ideas. *Diamond v. Diehr*, 450 U.S. 175 (1981). It is improper to read limitations into § 101 on the subject matter that may be patented where the legislative history indicates that Congress clearly did not intend such limitations. <u>See Chakrabarty</u>, 447 U.S. at 308 ("The Federal Circuit has also cautioned that courts 'should not read into the patent laws limitations and conditions which the legislature has not expressed." (citations omitted)). <u>State Street Bank & Trust Co. v. Signature Fin. Group</u>, 149 F.3d 1368 (Fed. Cir. 1998).

The Office Action improperly reads into section 101 the limitation that claims must be

limited to tangible embodiments. That is not one of the judicially recognized exclusions from

patentable subject matter. For that reason alone the rejection should be withdrawn. However, the

computer readable medium of claim 5 is limited to physical embodiments. Consider for example

a computer that has no word processing capability. A CD ROM comprising an "intangible"

program is inserted into the computer and the "intangible" software is loaded into the computer.

The computer now has the capability to produce and edit text files. Now, the CD is removed but

the computer still has word processing capabilities. This is a tangible embodiment. It's not the

CD that caused that; it's the software written on the CD that made it work. Next, consider that the

computer had an Internet connection. The user of the computer downloads a copy of a word

processing program. The computer now processes text. That is also a tangible result. The

rejection should therefore be withdrawn.

The Office Action improperly reads another limitation into section 101: to be patentable

something must be a "physical thing." The word "physical" is defined as "Of or pertaining to

matter and energy or the sciences dealing with them." The American Heritage Dictionary,

Second College Edition, Houghton Mifflin (1982). Undeniably, computer programs transmitted

by CD or by wire meet this definition of physical.

The Office Action then says that computer programs are not statutory processes and they

are not acts. Admittedly processes and acts are patentable subject matter but they are not physical

things either, unless one considers the effects of an object as a physical thing. As was discussed

above, the downloading of software does something physical to the computer that makes it

behave differently from when the software was downloaded.

Claim 9 has been amended to be in the machine category of patentable subject matter and

thus its rejection is now moot. Therefore, the Office Action has not provided any valid legal

reason for its rejection and as such it must be withdrawn.

CLAIM REJECTIONS UNDER 35 USC §112

The Office Action rejected claims 1, 5 and 9 under 35 USC 112, second paragraph, as

being indefinite on the grounds that Applicant has not allegedly made clear what the term "cost-

related information" is meant to encompass. Therefore, the claims have been amended to state

that cost-related information comprises the kind of use that is made of the component or

corresponding decisions made for other components that interact with the component. Support

for this amendment is found at page 2, paragraph 29 of the disclosure. Therefore, the rejection

has been overcome.

PROVISIONAL DOUBLE PATENTING CLAIM REJECTIONS

The Office Action provisionally rejected claims 1 and 5 on the ground of non-statutory

obviousness-type double patenting as being unpatentable over claims 13 and 19 of co-pending

application number 10/073,628.

The Office Action provisionally rejected claims 1 and 5 on the ground of non-statutory

obviousness-type double patenting as being unpatentable over claims 2 and 7 of co-pending

application number 10/073,608.

Therefore, Applicant is filing terminal disclaimers for a term running beyond that of

patent applications 10/073,628 and 10/073,608.

CLAIM REJECTIONS UNDER 35 USC §103

The Office Action rejected claims 1-13 under 35 USC 103(a) as being unpatentable

over "Dynamic Program Monitoring and Transformation Using the OMOS Object Server" by

Orr et al. ("Dynamic Program Monitoring") in view of "Program Specialization Using the

OMOS System" by Orr et al. ("Program Specialization").

Dynamic Program Monitoring teaches:

"The monitor procedures send an event trace back to OMOS, which analyzes this information to derive a desired ordering of procedures within the executable. Then OMOS reorders the base

executable; subsequent instantiations use the new, optimized version."

This is not the same as instrumenting said components to gather cost-related information during

at least a partial run of said program. The quoted language does not state the criteria used for

ordering of procedures. To assume, as the Office Action does, that the ordering is done in order

of cost estimates is improper because it is an improper use of hindsight. The use of cost does not

appear in the prior art; instead it is taught and claimed by Applicants. That is not the use of

information available at the time of the invention and is therefore hindsight.

In addition, the cited combination does not teach or suggest the claim limitation of

claims 1, 5, and 9, added by amendment, that cost-related information comprises the kind of use

that is made of the component or corresponding decisions made for other components that

interact with the component.

Moreover, the Office Action admits that Dynamic Program Monitoring does not

disclose selecting, at runtime, one of the explicitly selectable implementations. However it

contends that Program Specialization teaches this claim limitation. Program Specialization

discuses I/O implementations which is not what Dynamic Program Monitoring discusses. In fact

the Office Action contends that Dynamic Program Monitoring teaches all of the steps of claim 1

but then does not select one of the implementations. In other words, the Office Action contends

that Dynamic Program Monitoring gathers cost-related information and orders various

implementations but does not choose among the ordered procedures. Program Specialization

does not teach, suggest or motivate the modification of Dynamic Program Monitoring as

suggested by the Office Action. In fact Applicant asserts that either Dynamic Program

Monitoring or Program Specialization must be considered objective evidence of non-

obviousness. If the reason for making the combination used by the Office Action were valid

then one or the other publication would have disclosed the subject matter of claim 1 (or the other

independent claims). In fact neither of the references disclosed the entire subject matter of any

claim of the instant application. If they had they would be referenced as anticipating prior art

and they were not. The failure of either reference to disclose the entirety of the claimed subject

matter must be considered evidence of the failure of others which is objective evidence of non-

obviousness. If we accept the reason for making the combination of references used by the

Office Action then the cited publications are indeed evidence that there was a problem unsolved

by those skilled in the art and that the cited publications were prior failures. Applicant's

contribution is the solution of the problem recognized by the Office Action but not solved by

either of the cited publications. Thus, failure of others to provide a feasible solution to the long-

standing problem recognized by the Office Action is probative of non-obviousness. *Intel Corp.* 

v. USITC, 946 F.2d 821 (Fed. Cir. 1991). Objective evidence of non-obviousness must be

considered when present (as here). Hybritech inc. v. Monoclonal Antibodies, Inc. 802 F.2d 1367

(Fed. Cir. 1986). Therefore the obviousness rejections should be withdrawn.

Claims 3-4 and 12-13 are dependent on claim 1 and are patentable for at least the

foregoing reasons. Claim 5 is an article of manufacture counterpart of claim 1 and is patentable

for the foregoing reasons. Claims 6-8 are dependent on claim 5 and are patentable for at least

the same reasons.

Claim 9 is a computer system that comprises limitations substantially the same as

those argued above. Claims 10 and 11 depend on claim 9 and are patentable for at least the same

reasons as claim 9 is patentable.

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For the foregoing reasons, Applicant respectfully requests allowance of the pending claims.

Respectfully submitted,

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